



# ERA-NET SUMFOREST

## FOREST RESEARCH SUPPORT AND FINANCIAL MECHANISMS USED IN EU COUNTRIES

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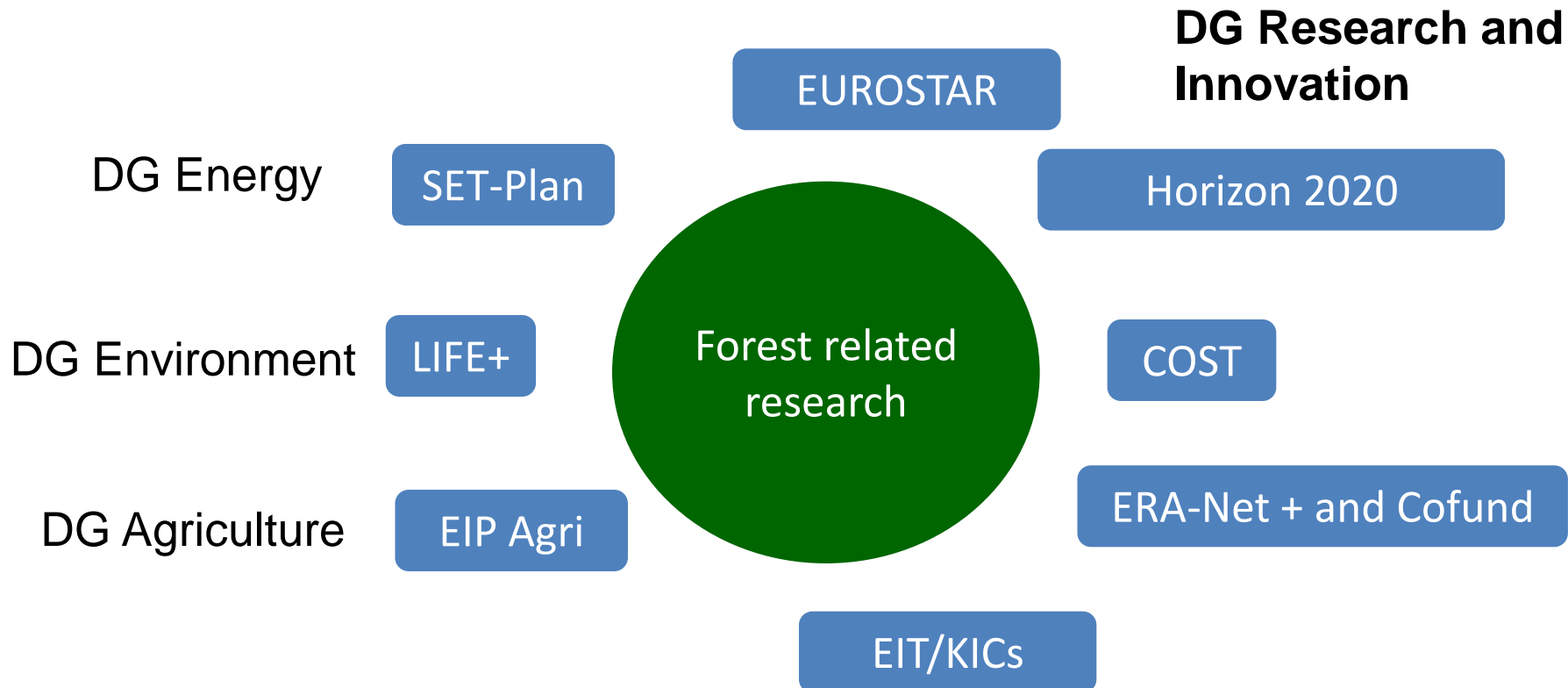
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- **Research Funding in EU**
  - Horizon 2020 (Overview)
  - Related Funding Programmes and Instruments
  
- **International Cooperation**
  - Funding opportunities and rules
  
- **Funding Instrument: ERA-NET (FP 7)**
  - SUMFOREST
  - Joint calls for research projects



# EU public funding programmes supporting Research





## What is Horizon 2020



- A € 80 billion research and innovation funding programme (2014-2020)
- The follow up of the
  - 7th Research Framework Programme (FP 7)
  - Competitiveness and Innovation Framework Programme (CIP)
  - European Institute of Innovation and Technology (EIT)
- A response to economic crisis by investing in future jobs and growth
- An address to people's concerns about their livelihoods, safety and environment



## What is Horizon 2020



### ➤ It has 3 priorities:

#### ■ Excellent science

4 sub programmes, e.g., European Research Council, Marie Skłodowska-Curie actions

#### ■ Industrial leadership

3 sub programmes, e.g., Leadership programme enabling industrial technologies, e.g., Nano, Biotechnology, SME programmes, etc.

#### ■ Societal challenges

7 sub programmes, e.g., SC 1: Health, SC 2: Environment, Food security, sustainable agriculture and **forestry**, marine and maritime and inland water research and the bioeconomy )

### ➤ Some horizontal programmes, e.g., COST, EIT, etc.



## Horizon 2020 and partnering

### Public private partnerships:

- Through Joint Technology Initiatives or other formal structures (Art. 187):  
e.g. Bio based Industry Joint Undertaking (BBI JU)
  - clear commitments from private partners/industry obligatory

### Public public partnerships:

- Through « ERA-Net Cofunds » for topping up individual calls/actions  
(replacing current ERA-Net, ERA-Net Plus, Inco-Net, Inno-net)
- Through participation in joint programmes between Member States (Art. 185):  
e.g. Eurostars for SMEs
  - only when criteria met, e.g. financial commitments of participating countries

### European Innovation Partnerships (EIP):

- Not funding instruments, but for coordination with broader policies and programmes, e.g. EIP Agri, Raw Material EIP, ....



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## Horizon 2020 International Cooperation: Principles

- **International cooperation is crucial** to address many Horizon 2020 objectives and therefore the programme is the most open funding programme in the world
- Horizon 2020 is open to and funding legal entities from:
  - **acceding** countries
  - **candidate** countries and **potential candidates**
  - **selected international partner countries** (list Annex A countries) e.g. Armenia, Azerbaijan, Belarus, Georgia, Moldova, Ukraine (i.e. all Eastern Partnership Countries)





## Horizon 2020 International Cooperation: Eligible Countries

- **Not automatically eligible non-EU applicants**  
e.g. Industrialized countries and emerging economies  
(USA, Brazil, Russia, ...)

### **BUT receive funding if:**

- they are explicitly identified in the call topic:  
e.g. joint call with Russia
- there are bilateral agreements between EU and the country
- the non eligible entity receives an exception  
e.g. crucial partner for the project
- some created own mechanism to fund participation (Russia)



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## Details ERA-NET funding: SUMFOREST

### An FP 7 ERA-NET is a financing instrument where:

- The EC is paying coordination activities of the involved partners: e.g. meetings/conferences, mapping activities
- The partner institutions (**only** public funding and managing institutions are eligible partners) contribute via in kind involvement (person month, infrastructure, etc.)
- Ultimate goal of ERA-NETs are joint calls for research projects that are financed by the **partner** institutions (**not** by the EC!)
- Rules for participation in the joint call: agreed between the funding partners (not FP 7 or H2020 rules)
- ! H2020 Cofund and FP 7 ERA-NET+: 33% top up by EC!



## Details ERA-NET funding: SUMFOREST

### Joint call in practice:

- Topic selection
- Funding agreements (budget allocation from partners)
- Establish a call steering committee
  - One representative from each funding partner
- Decide on call procedures
  - One step or 2 step/ ranking list/evaluation criteria/ evaluators/.....
- Call announcement
- Call deadline
- Proposal selection



## Details ERA-NET funding: SUMFOREST

- Who pays for organising the call:
  - SUMFOREST
- Who is funding research projects in SUMFOREST:
  - Those funding partners that put national public money into a virtual common pot
- What happens if no applicant from a funding country is in a winning project:
  - The budget goes back to the funding partner
- What happens if a funding partner puts not enough money for all winning applicants into the pot:
  - Funding according to the ranking list
  - Budget reduction for projects involving this funding partner
  - External budget available (industry, other partners budget)



## Details ERA-NET funding: SUMFOREST

- **Size of the research projects:**
  - ~ 5 to 7 partners
  - ~ 0,5 to 2 M€ (27 Mio to 100 Mio Rubbel)
- **Duration:**
  - ~ 2 to 3 years
- **Proposed time line:**
  - June 2016: Selection of Call Topics
  - November 2016: Funding agreement
  - December 2016: Call announcement
  - October 2017: Research projects start
- **More questions?**



## WHERE TO FIND MORE INFORMATION AND WHERE TO APPLY?

- **Horizon 2020 Participant Portal** Research and Innovation  
European Commission  
<http://ec.europa.eu/research/participants/portal/desktop/en/home.html>
- **Horizon 2020 European Commission**  
<http://ec.europa.eu/programmes/horizon2020/>
- **Incontact** (NCP's network) [http://www.ncp-incontact.eu/nkswiki/index.php?title=Main\\_Page](http://www.ncp-incontact.eu/nkswiki/index.php?title=Main_Page)
- **SUMFOREST homepage:** <http://www.sumforest.org>

First joint call for Research proposals in 2016: All funding organisations are welcome to join!



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- **Horizon 2020 European Commission**  
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- **Incontact (NCP's network)**  
[http://www.ncp-incontact.eu/nkswiki/index.php?title=Main\\_Page](http://www.ncp-incontact.eu/nkswiki/index.php?title=Main_Page)
- **SUMFOREST Homepage:**  
<http://www.sumforest.org>





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**Thank you for your attention**